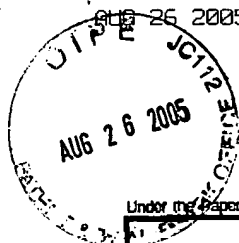


If2v



PTO/SB/97 (08-00)

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Application Number: 10/723,863

Filing Date: 11/26/2003

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2. Copy of Utility Patent Application Transmittal Dated 8/24/04
3. Copy of Fee Transmittal Dated 8/24/04
4. Change of Entity Status Under 37 C.F.R §1.28 Dated 9/21/04
5. Copy of Filing Receipt Dated 7/22/2004
6. Response to Request for Corrected Filing Receipt Dated 7/22/04

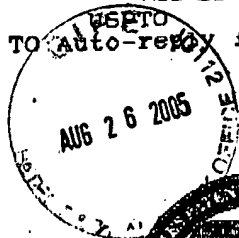
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*2nd Request*

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## Auto-Reply Facsimile Transmission

TO: Fax Sender at 509 323 8979

Fax Information

Date Received:

Total Pages:

9/21/2004 6:54:10 PM [Eastern Daylight Time]  
8 (including cover page)

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Received  
Cover  
Page

=====>

SEP 21 2004 16:08 FR LEE - HAYES P.L.L. 509 323 8979 TO 17037469195 P.01/08

Application Number: 10/723,883  
Filing Date: Nov 26, 2003

Certificate of Transmission under 37 CFR 1.8

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2. Fee Transmittal
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Total pages including cover sheet: 8

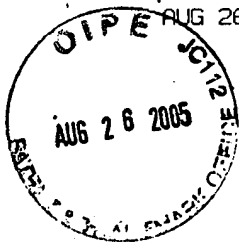
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PAGE 08 \* RCVD AT 9/21/2004 6:54:10 PM [Eastern Daylight Time] \* SVR:USPTO-EFXXF-05 \* DNIS:7469195 \* CSID:15093238979 \* DURATION (mm-ss):02:06

on Pair  
9-22-04



AUG 26 2005 15:05 FR 00

15093238979 TO 17037469195

P.03/11

\*\*\* TX STATUS REPORT \*\*\*

AS OF SEP 21 2004 16:10 PAGE.01

LEE - HAYES PLL

	DATE	TIME	TO/FROM	MODE	MIN/SEC	PGS	JOB#	STATUS
29	09/21	16:08	USPTO.	EC-S	02'29"	008	008	OK

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Application Number: 10/723,863

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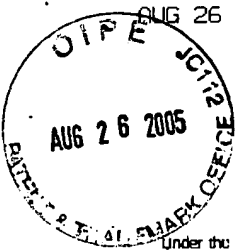
1. Patent Application Transmittal
2. Fee Transmittal
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Application Number: 10/723,863

Filing Date: Nov 26, 2003

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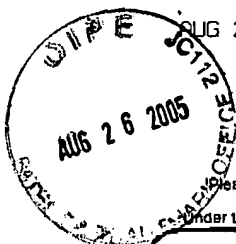
1. Patent Application Transmittal
2. Fee Transmittal
3. Change of Entity Status
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15093238979 TO 17037469195

P.05/11

PTO/SB/05 (03-01)

Approved for use through 10/31/2002, OMB 0651-0032

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. MS1-1699US

First Inventor Chalecki

Title Rendering An HTML Electronic Form By Applying XSLT To XML Using A Solution

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☐ Specification (Total Pages )  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) { Total Sheets  }
5. Oath or Declaration (Total Pages )
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

Mail Stop Patent Application  
ADDRESS TO: Commissioner for Patents/P.O. Box 1450  
Alexandria, VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Change of Entity Status 37 CFR 1.28

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

or prior application No. \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

22801

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Michael K. Colby

Registration No. (Attorney/Agent)

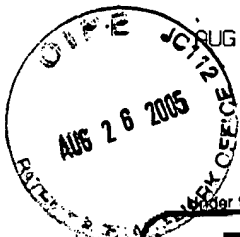
45,816

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24 Aug 04

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15093238979 TO 17037469195

P.06/11

PTO/SB/17 (10-03)

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 739.00)

## Complete if Known

Application Number	10/723,863
Filing Date	Nov 26, 2003
First Named Inventor	Chalecki
Examiner Name	
Art Unit	2171
Attorney Docket No.	MS1-1699US

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number  
Deposit Account Name

12-0769

Lee &amp; Hayes, PLLC

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 180	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$ 0.00)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent Claims		-20** =		X			
Multiple Dependent		-3** =		X			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

\*\*or number previously paid. If greater, For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1480 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1808 180	1808 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) Change of Entity Status 739.00

\*Reduced by Basic Filing Fee Paid

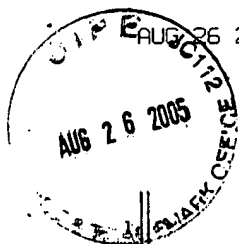
SUBTOTAL (3) (\$ 739.00)

## SUBMITTED BY

Name (Print/Type)	Michael K. Colby	Registration No. (Attorney/Agent)	45,816	Telephone	(509) 324-9256
Signature	<i>Michael K. Colby</i>	Date	24 Aug 04		

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AUG 26 2005 15:06 FR 00

15093238979 TO 17037469195

P.07/11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Application Serial No. .... 10/723,863  
3 Filing Date ..... November 26, 2003  
4 Inventorship ..... Chalecki, et al.  
5 Applicant ..... Microsoft Corporation  
6 Group Art Unit ..... 2171  
7 Examiner .....  
8 Confirmation No. .... 9696  
9 Attorney's Docket No. .... MS1-1699US  
10 Title: Rendering An HTML Electronic Form By Applying XSLT To XML Using A  
11 Solution

**CHANGE OF ENTITY STATUS**  
**UNDER 37 C.F.R. § 1.28**

10 To: Commissioner for Patents  
11 P.O. Box 1450  
12 Alexandria, VA 22313-1450  
13  
14 From: Michael K. Colby (Tel. 509-324-9256; Fax 509-323-8979)  
15 Lee & Hayes, PLLC  
16 421 W. Riverside Avenue, Suite 500  
17 Spokane, WA 99201

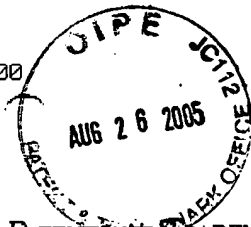
18 Sir:

19 Applicant makes this submission in accordance with 37 C.F.R. §1.28(c) to change  
20 the entity status from small entity to large entity. The patent application filing fee due for  
21 a large entity is \$1478.00. The small entity filing fee of \$739.00 was paid on November  
22 26, 2003. The \$739.00 deficiency due is paid herewith.

Respectfully Submitted,

23 Dated: 2/2/04

24 By: Michael K. Colby  
25 Michael K. Colby  
Reg. No. 45,816  
(509) 324-9256



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/723,863	11/26/2003	2171	739	MS1-1699US	11	45	6

CONFIRMATION NO. 9696

## CORRECTED FILING RECEIPT



\*OC000000013315674\*

22801  
 LEE & HAYES PLLC  
 421 W RIVERSIDE AVENUE SUITE 500  
 SPOKANE, WA 99201

Date Mailed: 07/22/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jason P. Chalecki, Redmond, WA;  
 Kelvin S. Yiu, Seattle, WA;  
 Prakash Sikchi, Issaquah, WA;

## Assignment For Published Patent Application

Microsoft Corporation;

## Domestic Priority data as claimed by applicant

This application is a CIP of 10/610,504 06/30/2003

## Foreign Applications

If Required, Foreign Filing License Granted: 03/12/2004

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title



Rendering an HTML electronic form by applying XSLT to XML using a solution

Preliminary Class

707

---

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APPLICATION NUMBER	FILING OR 371(a) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/723,863	11/26/2003	Jason P. Chalcecki	MS1-1699US

22801  
LEE & HAYES PLLC  
421 W RIVERSIDE AVENUE SUITE 500  
SPOKANE, WA 99201

CONFIRMATION NO. 9696

\*OC000000013313898\*

Date Mailed: 07/22/2004

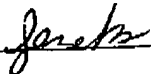
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### Publication and General Rules Issues

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

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- ☐ The words "new", "improved", "improvement of", "improvement in", or "improvement relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement. See MPEP 606.
- ☐ The title appears on the filing receipt in sentence case for publication in the Annual Index of Patents.
- ☐ Amendments are not accepted in provisional applications. See 37 CFR 1.53(c).
- ☐ An amendment or Application Data Sheet is needed to make this change.
- ☐ The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. (See MPEP 605.02.)
- ☐ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an Application Data Sheet or amendment to the first page of the specification.
- ☒ Small entity status was previously claimed in this application. To notify the Office of a loss of entitlement to small entity status, see 37 CFR 1.27(g). To have a good faith error in claiming small entity status excused, see 37 CFR 1.28(c).

- ☐ The request for non-publication was not timely filed. A request for non-publication must be submitted upon filing of an application. The application is scheduled to be published on the date specified on the filing receipt. See 37 CFR 1.213(a)(1)
- ☐ The request for non-publication has not been recognized because it is not conspicuous as required by 37 CFR 1.213(a)(2)
- ☐ The request for non-publication has not been recognized because it does not contain the certification as required by 37 CFR 1.213(a)(3).
- ☐ The request for non-publication has not been recognized because it is not signed in compliance with 37 CFR 1.33(b) as required by 37 CFR 1.213(a)(4).
- ☐ There was no prior request for non-publication in this application. The request to rescind the non-publication request will not be processed.
- ☐ Your request for non-publication will not be acknowledged because this application is not eligible for publication. Only utility and plant applications filed on or after November 29, 2000 are eligible for publication.
- ☐ The "Non-Publication Request" indicator is correct. If there was a proper request submitted at the time of filing, the notation on the filing receipt will be "Yes". If no such request was made, the notation will be "No".
- ☐ Assignment information will only be included for applications that are eligible for publication.

  
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